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DATE: MAY 13, 2004

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FROM: EUGENE M. LEE, REG. NO. 32,039

FAX NUMBER: 703.872.9306

TOTAL NO. OF PAGES INCLUDING COVER: 8

OUR REFERENCE NUMBER: 249/385

In re the Application of

Notice of Allowance (Form  
PTOL-85) Mailed May 6, 2004

Hoon-tae KIM, et al.

Art Unit: 2831

Serial No. 10/635,472

Examiner Nguyen T. HA

Filed: AUGUST 7, 2003

Confirmation No. 8537

For: HIGH-CAPACITANCE CAPACITOR HAVING MULTI-LAYERED VERTICAL STRUCTURE

Transmitted herewith is/are

- REQUEST FOR ACKNOWLEDGEMENT OF ENTRY OF INFORMATION  
DISCLOSURE STATEMENT FILED FEBRUARY 17, 2004 w/COPY IDS &  
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Return receipt, via facsimile [to 703.525.4265], of the above-referenced document(s) is hereby  
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LEE & STERBA, P.C.

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Attorney Docket No. 249/385

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REQUEST FOR ACKNOWLEDGEMENT OF ENTRY OF  
INFORMATION DISCLOSURE STATEMENT  
FILED FEBRUARY 17, 2004

**MAIL STOP: ISSUE FEE**

Commissioner for Patents  
Alexandria, VA 22313-1450

Sir:

An Information Disclosure Statement under 37 C.F.R. §1.56 (copy enclosed) was timely filed on February 17, 2004, as evidenced by the attached photocopy of the card receipt bearing the date stamp of OIPE. The application was formally allowed on May 6, 2004. A copy of Form PTO/SB/08A (page 1 of 2), initialed by the Examiner to verify that the cited references had been made of record, has not been provided to undersigned counsel. [Note: A copy of PTO/SB/08B (page 2 of 2), listing cited publications, initialed by the Examiner, was appended to the Notice of Allowability of May 6, 2004.] It is requested that the Examiner specifically consider these references, and that an initialed copy of Form PTO/SB/08A (pg 1 of 2) be provided to counsel.

Respectfully submitted,

Date: May 13, 2004

Eugene M. Lee, Reg. No. 32,039

LEE & STERBA, P.C.  
1101 WILSON BOULEVARD, SUITE 2000  
ARLINGTON, VA 22209  
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Serial No. 10/635,472Attorney Docket No. 249/385

PETITION and  
DEPOSIT ACCOUNT CHARGE AUTHORIZATION

This document and any concurrently filed papers are believed to be timely. Should any extension of the term be required, applicant hereby petitions the Director for such extension and requests that any applicable petition fee be charged to Deposit Account No. 50-1645.

If fee payment is enclosed, this amount is believed to be correct. However, the Director is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-1645.

Any additional fee(s) necessary to effect the proper and timely filing of the accompanying papers may also be charged to Deposit Account No. 50-1645.

CERTIFICATE OF TRANSMISSION UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on May 13, 2004.

  
\_\_\_\_\_  
Signature

Susan G. Werbow  
Name of Person Signing Certificate

Docket No. 249/386

## LEE &amp; STERBA, P.C.

The "Received" stamp of the U.S. Patent & Trademark Office hereon acknowledges receipt of the following:

In re the Application of

Hoon-tae KIM, et al.

Serial No. 10/635,472

Filed: August 7, 2003

For: HIGH-CAPACITANCE CAPACITOR HAVING MULTI-LAYERED VARTICAL STRUCTURE

Art Unit: 2831

Examiner: Nguyen T. HA

Confirmation No. 8837



Information Disclosure Statement under 37 C.F.R. §1.56 with Form PTO/SB-08 & 2 References

5-13-04; 6:41PM;

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# 5/ 8

MAY 13 2004

OFFICIAL

Attorney Docket No. 249/385

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Hoon-tae KIM, et al.

Art Unit: 2831

Serial No. 10/635,472

Examiner: Nguyen T. HA

Filed: August 7, 2003

Confirmation No. 8837

For: HIGH-CAPACITANCE CAPACITOR HAVING  
MULTI-LAYERED VARTICAL STRUCTURE

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.56

Commissioner for Patents  
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 (or PTO-1449, modified) is a listing of a document(s) known to applicant in order to comply with applicant's duty of disclosure pursuant to 37 C.F.R. §1.56.

- A copy of each listed document is being submitted to comply with the provisions of 37 C.F.R. §§1.97 & 1.98. [Box checked if applicable.]
- A copy of each listed non-U.S. patent and/or patent publication and cited literature is being submitted to comply with the provisions of 37 C.F.R. §§1.97 & 1.98. Cited U.S. patents and patent publications are *not* attached in accordance with the waiver set forth in the Notice by the Deputy Commissioner for Patent Examination Policy dated July 11, 2003, applicable to applications having a U.S. filing date *after* June 30, 2003. [Box checked if applicable.]

\* \* \*

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application. Applicant does not waive any rights to take any action that would be appropriate to

antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

- The listed document(s) is/are being submitted in compliance with 37 C.F.R. 1.97(b)(3): submission before the mailing date of the first action on the merits. [Box checked if applicable.]
- The foregoing document came to applicant's attention during the prosecution of their corresponding \_\_\_\_\_ application.  A copy of the \_\_\_\_\_ Search Report is also attached. [Box(es) checked if applicable.]

#### CERTIFICATION

- The undersigned hereby certifies in accordance with 37 CFR §1.97(e)(1) that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Statement. [Box checked if applicable.]

\* \* \*

Applicant respectfully requests that the listed document(s) be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

\* \* \*

#### FEES PAYMENT

- The fee mandated by 37 C.F.R. §1.17(p) is believed applicable. [Box checked if applicable.]
- Attached is a check in payment of this fee (\$180.00). [Box checked if applicable.]
- This fee may be charged to Deposit Account No. 50-1645. (A duplicate copy of this paper is enclosed.) [Box checked if applicable.]

Respectfully submitted,

February 17, 2004  
Date

  
Eugene M. Lee, Registration No. 32,039

LEE & STERBA, P.C.  
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ARLINGTON, VA 22209  
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#### DEPOSIT ACCOUNT CHARGE AUTHORIZATION

If fee payment is enclosed, this amount is believed to be correct. However, the Director is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-1645.

Any additional fee(s) necessary to effect the proper and timely filing of the above-paper may also be charged to Deposit Account No. 50-1645.

PTO/SB/08A (04-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO		Complete if Known	
<p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p>(Use as many sheets as necessary)</p>			
Sheet 1	of 12	Application Number	10/635,472
		Filing Date	August 7, 2003
		First Named Inventor	Hoon-tae KIM, et al.
		Art Unit	2831
		Examiner Name	Nguyen T. HA
		Attorney Docket Number	249/385

U. S. PATENT DOCUMENTS

**FOREIGN PATENT DOCUMENTS**

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
		Country Code <sup>2</sup> Number <sup>3</sup> Kind Code <sup>4</sup> (if known)			T <sup>5</sup>

**Examiner Signature** \_\_\_\_\_ **Date Considered** \_\_\_\_\_

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \*Applicant's unique citation designation number (optional).<sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. \*Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \*Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.